

Express Mail Label No. E

Page 1 of 4

Docket No.
840.059.301

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Configuration of an Atmospheric Pressure Ion Source

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on _____ as United States Application No. or PCT International
Application Number 09/423,471
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

| | | | |
|----------|-----------|------------------------|--------------------------|
| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/US97/08550

5/17/97

abandoned

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Morris E. Cohen (Reg. No. 39,947)

Peter L. Berger (Reg. No. 24,570)

Andrew S. Langsam (Reg. No. 28,556)

Barry E. Negrin (Reg. No. 37,407)

Marilyn Neiman (Reg. No. P44096)

Anna A. Vishev (Reg. No. P45018)

Send Correspondence to: Morris E. Cohen
Levisohn, Lerner, Berger & Langsam
757 Third Avenue, Suite 2400
New York, New York 10017

Direct Telephone Calls to: (name and telephone number)
Morris E. Cohen (212) 486-7272

| | |
|---|------------------------|
| Full name of sole or first inventor <u>Craig M. Whitehouse</u> | |
| Sole or first inventor's signature <u>Craig M. Whitehouse</u> | Date <u>2/14/00</u> |
| Residence <u>Branford, CT</u> | |
| Citizenship <u>US</u> | |
| Post Office Address | |
| | |

| | |
|--|------------------------|
| Full name of second inventor, if any <u>Michael Sansone</u> | |
| Second inventor's signature <u>Michael R. Sansone</u> | Date <u>2/11/00</u> |
| Residence <u>Hamden, CT</u> | |
| Citizenship <u>US</u> | |
| Post Office Address | |
| | |

Full name of third inventor, if any

Clement Catalano

Third inventor's signature

Date

Residence

Clinton, CT

Citizenship

US

Post Office Address

Full name of fourth inventor, if any

Fourth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fifth inventor, if any

Fifth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTORDocket No.
840.059.301

Serial No.

09/423,471

Filing Date

Patent No.

Issue Date

Applicant/ Whitehouse, et al,
Patentee:

Invention: Configuration of an Atmospheric Pressure Ion Source

APR 18 2002

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☐ the specification to be filed herewith.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
☒ Each such person, concern or organization is listed below.

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME Analytica of Branford, Inc.ADDRESS 29 Business Park Drive; Branford, CT 06405

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Craig M. Whitehouse

SIGNATURE OF INVENTOR Craig M. Whitehouse

DATE: 2/14/00

NAME OF INVENTOR Michael Sansone

SIGNATURE OF INVENTOR Michael A. Sansone

DATE: 2/11/00

NAME OF INVENTOR Clement Catalano

SIGNATURE OF INVENTOR Clement Catalano

DATE: 3/2/00

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE: _____

LEVISCHN LERNERO I R Fax: 212-486-0323

Mar 22 '00 12:35 P.02

APR 18 2002

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 6/30/00, OMB 0707-0111
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

| | | |
|--|--|---|
| STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.8(f) & 1.27(c)) - SMALL BUSINESS CONCERN | | Docket Number (Optional) 840.059.301 |
| Applicant, Patentee, or Identifier: <u>C. Whitehouse et al.</u> | | |
| Application or Patent No.: <u>09/423,421 (PCT/US97/08530)</u> | | |
| Filed or Issued: <u>5/17/97</u> | | |
| Title: <u>Compensation of an Atmospheric Pressure Ion Source</u> | | |
| <p>I hereby state that I am</p> <p><input type="checkbox"/> the owner of the small business concern identified below;</p> <p><input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below.</p> | | |
| NAME OF SMALL BUSINESS CONCERN <u>Analytica of Branford, Inc.</u> | | |
| ADDRESS OF SMALL BUSINESS CONCERN <u>29 Business Park Drive, Branford, Connecticut 06405</u> | | |
| <p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.</p> | | |
| <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <p><input type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input checked="" type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> | | |
| <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.8(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.8(d), or a nonprofit organization under 37 CFR 1.8(e).</p> | | |
| <p>Each person, concern, or organization having any rights in the invention is listed below:</p> <p><input checked="" type="checkbox"/> no such person, concern, or organization exists.</p> <p><input type="checkbox"/> each such person, concern, or organization is listed below.</p> | | |
| <p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> | | |
| <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance</p> | | |
| NAME OF PERSON SIGNING <u>Craig Whitehouse</u> | | |
| TITLE OF PERSON IF OTHER THAN OWNER <u>President</u> | | |
| ADDRESS OF PERSON SIGNING <u>29 Business Park Drive, Branford, Connecticut 06405</u> | | |
| SIGNATURE <u>Craig Whitehouse</u> DATE <u>March 21, 2000</u> | | |

Small-Entity Statement. This form is estimated to take 0.2 hours to complete. Times vary depending upon the needs of the individual case. Any comments on the burden of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.